

CARE QUALITY COMMISSION: HEALTHCARE STANDARDS DECLARATION

ITEM No 12

Decision Discussion Information

Name of Author Lorna Longman, Head of Quality Governance,
Hillingdon Community Health

Name of Director Maria O'Brien, Managing Director, Hillingdon Community Health

Purpose of the Report

The Care Quality Commission is responsible for reviewing the performance of Primary Care Trusts. The assessment of core standards is expected to build on the organisation's own system of assurance. This report serves to inform the NHS Hillingdon Board of the progress made in regard to evidence collection for the Care Quality Commission core standards and to enable reasonable assurance to be gained in order to agree declaration of full compliance against the core standards for the period 1 November 2009 – 31 March 2010.

Recommendations to the Board

1. To review the progress made regarding compliance with CQC core standards.
2. To determine whether it has reasonable assurance that the criteria has been met in order to declare full compliance against the core standards."
3. To agree a declaration of full compliance against the core standards.

Equality Impact Assessment complete?

N/A. There is no positive or negative impact from this report.

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Contact Name Lorna Longman
Contact Tel No 01895 452072

EXECUTIVE SUMMARY

1. The Care Quality Commission (CQC) replaced the Healthcare Commission, the Commission for Social Care Inspection and the Mental Health Act Commission from April 2009, providing an integrated approach to regulation across these bodies' current areas of responsibility.
2. The Care Quality Commission took over the regulation of health and adult social care from 1 April 2009 and is responsible for delivery of the 2009/10 periodic review, including the core standards.
3. All provider organisations are required to comply with the core standards for the entire assessment period – 1 April 2009 to 31 March 2009. Both NHS Hillingdon Board and Hillingdon Community Health Board approved the mid-year declaration of compliance against the core standards at the end of November 2009.
4. As stated in the 2009/10 CQC guidance – 'Criteria for assessing core standards: Primary Care trusts as Providers' the Board will not be required to declare compliance against the standards relating to healthcare associated infections, C4a and C4c, as compliance was determined as part of the Trust's registration process in 2009, in accordance with the Code of Practice for the Prevention and Control of Healthcare Associated Infections.
5. The Board will not be required to submit any third party commentaries on HCH performance, as CQC will request commentaries directly from the relevant groups and organisations.
6. To ensure the collated evidence demonstrated compliance with the lines of enquiry for the core standards, the Head of Quality Governance met with the identified CQC core standards leads at a series of one to one meetings; in addition a CQC Evidence Review Group was convened to provide further scrutiny of the evidence and provide further assurance of compliance.
7. In February 2010 a Parkhill Internal Auditor conducted an audit of the CQC evidence collation process and a review of the evidence collated for core standards C4b, C4e and C16. The outcome of the audit, when received, will be reported at the next Audit Committee meeting.
8. A report is due to be presented to HCH Board on 23 March 2010 which will outline the evidence collation process. The HCH Board will be presented with the full log of evidence, collated for every line of enquiry for each of the core standards. The HCH Board will be required to challenge and scrutinise the CQC core standards evidence log in order to gain reasonable assurance that the criteria had been met to agree a declaration of full compliance against the core standards for the period 1 November 2009 to 31 March 2010. Due to timing of both Boards, verbal feedback will be given to the March NHS Hillingdon Board on the

outcome of this scrutiny in order to satisfy it that sufficient review has been undertaken to enable sign-off of the year end declaration.

9. The NHS Hillingdon Board is required to make a self declaration (Appendix 1) stating that it has reasonable assurance that there is compliance with the core standards for the period 1 November 2009 to 31 March 2010, which will account for the full year 2009/10.

10. A public declaration of full compliance with the core standards was posted on the NHS Hillingdon website, as required by CQC, in January 2010. If the Board feels it has sufficient assurance of compliance then this declaration will stand.

11. The Board are advised that any significant lapses in assurance of compliance or insufficient assurance must be notified to CQC by 30 April 2010.

RECOMMENDATIONS

Board is requested to:

1. To review the progress made regarding compliance with the core standards.
2. To determine whether it has reasonable assurance that the criteria has been met in order to declare full compliance against the core standards."
3. To agree a declaration of full compliance against the core standards

APPENDICES

Appendix 1 – NHS Hillingdon / Hillingdon Community Health Care Quality Commission Declaration.

**NHS Hillingdon
Hillingdon Community Health**

Core Standards Declaration 2009/10

Organisation Name:	NHS Hillingdon
Organisation Code:	5AT

General Statement of Compliance

General Statement of Compliance	NHS Hillingdon and Hillingdon Community Health Board are satisfied that the signed declaration is a faithful commentary on Hillingdon Community Health's compliance with the core healthcare standards.
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Core Standards Declaration

Core Standard	Level of Compliance
C1a Incidents – reporting and learning	Compliant
C1b Safety Alerts	Compliant
C2 Safeguarding children	Compliant
C3 NICE interventional procedures	Compliant
C4a Infection Control	Not required
C4b Medical devices	Compliant
C4c Decontamination	Not required
C4d Medicines Management	Compliant
C4e Clinical Waste	Compliant
C5a NICE technology appraisals	Compliant
C5b Clinical supervision	Compliant
C5c Updating clinical skills and techniques	Compliant
C5d Clinical audit and review	Compliant
C6 Partnership	Compliant
C7 a & c Corporate and clinical governance	Compliant
C7b Honesty, probity	Compliant
C7e Discrimination	Compliant
C8a Whistle blowing	Compliant
C8b Personal development	Compliant
C9 Records management	Compliant
C10a Employment checks	Compliant
C10b Professional code of conduct	Compliant
C11a Recruitment, training & skill mix	Compliant

C11b Mandatory training	Compliant
C11c Professional development	Compliant
C12 Research governance	Compliant
C13a Dignity and respect	Compliant
C13b Consent	Compliant
C13c Confidentiality of patient information	Compliant
C14a Accessible complaints procedure	Compliant
C14b Complaints and discrimination	Compliant
C14c Complaints response	Compliant
C15a Food – provision	Compliant
C15b Food – individual needs	Compliant
C16 Accessible information	Compliant
C17 Patient and public involvement	Compliant
C18 Equity, choice	Compliant
C20a Safe, secure environment	Compliant
C20b Privacy and confidentiality	Compliant
C21 Clean, well designed environment	Compliant
C22 a & c Public health partnerships	Compliant
C22b Local health needs	Compliant
C24 Emergency preparedness	Compliant

Electronic sign off – details of individuals

Hillingdon Community Health Board

Title	Full Name	Job Title
Mr.	Laks Khangura	Chair
Mrs	Betty Arrol	Non-Executive Director
Mr.	Douglas Mills	Associate Non-Executive Director
Mrs	Maria O'Brien	Managing Director Hillingdon Community Health
Dr.	Sagar Dhanani	Clinical Director, Hillingdon Community Health
Ms	Helen Willetts	Associate Director of Operations, Hillingdon Community Health
Ms	Lesley Williams	Associate Director of Human Resources, Hillingdon Community Health
Ms	Sally England	Associate Director of Finance, Hillingdon Community Health

Electronic sign off – details of individuals

NHS Hillingdon Board

Title	Full Name	Job Title
Mr.	Mike Whitlam	Acting PCT Chair
Mr.	Laks Khangura	Non-Executive Director
Mr.	Nigel Foxwell	Non-Executive Director
Mr.	Ian Hill	Non-Executive Director
Mr.	Shun Au	Non-Executive Director
Ms	Allison Seidler	Non-Executive Director
Mrs	Betty Arrol	Non-Executive Director
Cllr	David Simmonds	Associate Non-Executive Director
Professor	Yi Mien Koh	Chief Executive
Ms.	Ann Johnson	Director of Finance
Dr.	Peter Reader	Chief Medical Officer and PEC Chair
Mr.	Kevin Mullins	Director of Strategic Commissioning
Mr.	Keith Bullen	Chief Operating Officer
Mr.	Ian Adams	Director of Communications and PPE
Ms	Sue Nunney	Director of Corporate Affairs
Dr	Ellis Friedman	Joint Director of Public Health
Ms	Maria O'Brien	Observer, Managing Director of Hillingdon Community Health